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L13 25 SEA FILE=HCAPLUS ABB=ON PLU=ON SAVVA M?/AU
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FILE COVERS 1971 TO PATENT PUBLICATION DATE: 1 Feb 2007 (20070201/PD)
FILE LAST UPDATED: 1 Feb 2007 (20070201/ED)
HIGHEST GRANTED PATENT NUMBER: US7171694
HIGHEST APPLICATION PUBLICATION NUMBER: US2007028338
CA INDEXING IS CURRENT THROUGH 1 Feb 2007 (20070201/UPCA)
ISSUE CLASS FIELDS (/INCL) CURRENT THROUGH: 1 Feb 2007 (20070201/PD)
REVISED CLASS FIELDS (/NCL) LAST RELOADED: Aug 2006
USPTO MANUAL OF CLASSIFICATIONS THESAURUS ISSUE DATE: Aug 2006

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L13 25 SEA FILE=HCAPLUS ABB=ON PLU=ON SAVVA M?/AU
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=> d ibib ed abs hitstr 1-10

L19 ANSWER 1 OF 10 HCAPLUS COPYRIGHT 2007 ACS on STN DUPLICATE 1

ACCESSION NUMBER: 2005:394541 HCAPLUS Full-text

DOCUMENT NUMBER: 142:435781

TITLE: Single-component pH-sensitive liposomes of

reduced solid-to-liquid phase transition

temperatures for gene delivery

INVENTOR(S): Savva, Michalakis PATENT ASSIGNEE(S): Michalakis Savva, USA

SOURCE: U.S. Pat. Appl. Publ., 15 pp.

CODEN: USXXCO

DOCUMENT TYPE: Patent English LANGUAGE:

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PRIO

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
US 2005095280	A1	20050505	US 2003-686374	
				200310
				15
ORITY APPLN. INFO.:			US 2003-686374	
				200310
				15

OTHER SOURCE(S): MARPAT 142:435781

Entered STN: 09 May 2005

The current invention relates to the synthesis of novel cationic lipids and their use as delivery vectors for nucleic acids, peptides and other synthetic drugs, in vitro and in vivo. The cationic lipids described herein form stable lamellar structures (liposomes) at physiol. pH but destabilize to micelles at acidic and alkaline pH. These structures are characterized by high elasticity, increased fluidity and high transfection activity relative to the corresponding 1,2-dialkyl cationic derivs. and other phospholipids analogs.

L19 ANSWER 2 OF 10 HCAPLUS COPYRIGHT 2007 ACS on STN DUPLICATE 2

ACCESSION NUMBER: 2005:346678 HCAPLUS Full-text

DOCUMENT NUMBER: 142:417187

TITLE: Cationic lipids for nucleic acid

delivery

INVENTOR(S): Savva, Michalakis

PATENT ASSIGNEE(S):

SOURCE: U.S. Pat. Appl. Publ., 8 pp.

CODEN: USXXCO

DOCUMENT TYPE: Patent English LANGUAGE:

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
US 2005084522	A1	20050421	US 2003-686262	

200310 15

PRIORITY APPLN. INFO.: US 2003-686262

200310

15

OTHER SOURCE(S): MARPAT 142:417187

Entered STN: 22 Apr 2005

The invention describes the synthetic methods for a series of pH-sensitive cationic lipids with diamido linkages between the 1,2-diamino-3-propanol backbone and the hydrocarbon chains. Their in vitro biol. activity of the resulting lipid-DNA complexes is also described.

L19 ANSWER 3 OF 10 HCAPLUS COPYRIGHT 2007 ACS on STN 2005:1162755 HCAPLUS Full-text ACCESSION NUMBER:

DOCUMENT NUMBER: 144:74592

TITLE: In Vitro Lipofection with Novel Asymmetric

Series of 1,2-Dialkoylamidopropane-Based Cytofectins Containing Single Symmetric Bis-(2-dimethylaminoethane) Polar Headgroups

AUTHOR (S): Savva, Michalakis; Chen, Pensung;

Aljaberi, Ahmad; Selvi, Bilge; Spelios, Michael

Division of Pharmaceutical Sciences, Arnold CORPORATE SOURCE:

Marie Schwartz College of Pharmacy and Health Sciences, Long Island University, Brooklyn, NY,

11201, USA

SOURCE: Bioconjugate Chemistry (2005), 16(6), 1411-1422

CODEN: BCCHES; ISSN: 1043-1802

PUBLISHER: American Chemical Society

Journal DOCUMENT TYPE: LANGUAGE: English ED Entered STN: 01 Nov 2005

Novel N, N'-diacyl-1, 2-diaminopropyl-3-carbamoyl[bis-(2- dimethylaminoethane)] bivalent AB cationic lipids were synthesized and evaluated for in vitro transfection activity against a murine melanoma cell line. In the absence of the helper lipid DOPE (1,2dioleoyl-sn-glycero-3-phosphoethanolamine), only the dioleoyl derivative 22 (1,21b5) elicited transfection activity. The transfection activity of this lipid was reduced when formulated with DOPE. Contrary to that, the dimyristoyl derivative 19 (1,21b2) mediated no activity when used alone but induced the highest levels of marker gene expression in the presence of DOPE. In an effort to correlate the transfection activity with cationic lipid structures, the physicochem. properties of cationic lipids in isolation and of lipoplexes were studied with surface tensiometry, photon correlation spectroscopy, gel electrophoresis mobility shift assay, and fluorescence techniques. In regard to the lipoplex properties, gel electrophoresis mobility shift assay and EtBr exclusion fluorescence assay revealed that the 1,21b5 was the only lipid to associate and condense plasmid DNA, resp. Photon correlation spectroscopy anal. found that 1,21b5/DNA complexes were of relatively small size compared to all other lipoplexes. With respect to the properties of isolated lipids, Langmuir monolayer studies and fluorescence anisotropy on cationic lipid dispersions verified high two-plane elasticity and increased fluidity of the transfection competent dioleoyl derivative 1,2lb5, resp. The results indicate that high transfection activity is mediated by cationic lipids characterized by an expanded mean mol. area, high mol. elasticity, and increased fluidity.

REFERENCE COUNT: 34 THERE ARE 34 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE

IN THE RE FORMAT

L19 ANSWER 4 OF 10 HCAPLUS COPYRIGHT 2007 ACS on STN ACCESSION NUMBER: 2005:25928 HCAPLUS Full-text

DOCUMENT NUMBER:

TITLE: Synthesis, in vitro transfection activity and

physicochemical characterization of novel N, N'-diacyl-1, 2-diaminopropyl-3-carbamoyl-(dimethylaminoethane) amphiphilic derivatives

Aljaberi, Ahmad; Chen, Pensung; Savva, AUTHOR(S):

Michalakis

CORPORATE SOURCE: Division of Pharmaceutical Sciences, Arnold and

> Marie Schwartz College of Pharmacy and Health Sciences, Long Island University, Brooklyn, NY,

11201, USA

SOURCE: Chemistry and Physics of Lipids (2005), 133(2),

135-149

CODEN: CPLIA4; ISSN: 0009-3084

PUBLISHER: Elsevier B.V.

DOCUMENT TYPE: Journal LANGUAGE: English ED Entered STN: 12 Jan 2005

A novel series of N,N'-diacyl-1,2-diaminopropyl-3-carbamoyl- (dimethylaminoethane) AR cationic derivs. was synthesized and screened for in vitro transfection activity at

different charge ratios in the presence and absence of the helper lipids DOPE and cholesterol. Physicochem. properties of lipid-DNA complexes were studied by gel electrophoresis, fluorescence spectroscopy and dynamic light scattering. The interfacial properties of the lipids in isolation were studied using the Langmuir film balance technique at 23 °C. It was found that only lipoplexes formulated with the dioleoyl derivative, 1,21mt[5], mediated significant in vitro transfection activity. Optimum activity was obtained with 1,2lmt[5]/DOPE mixture at a ±charge ratio of 2. In agreement with the transfection results, 1,2lmt[5] was the only lipid found to complex and retard DNA migration as verified by gel electrophoresis. Despite the efficient complexation, no significant condensation of plasmid DNA was observed as indicated by fluorescence spectroscopy measurements. Monolayer studies showed that the dioleoyl derivative 1,21mt[5] was the only lipid that existed in an all liquid-expanded state with a collapse area and collapse pressure of 59.5 A2 and 38.7 mN/m, resp. This lipid was also found to have the highest elasticity with a compressibility modulus at monolayer collapse of 80.4 mN/m. In conclusion, increased acyl chain fluidity and high mol. elasticity of cationic lipids were found to correlate with improved transfection activity.

REFERENCE COUNT:

AUTHOR(S):

THERE ARE 26 CITED REFERENCES AVAILABLE 26 FOR THIS RECORD. ALL CITATIONS AVAILABLE

IN THE RE FORMAT

L19 ANSWER 5 OF 10 HCAPLUS COPYRIGHT 2007 ACS on STN ACCESSION NUMBER: 2005:503242 HCAPLUS Full-text

DOCUMENT NUMBER: 144:198343

Correlation of the physicochemical properties of TITLE:

symmetric 1,3-dialkoylamidopropane-based

cationic lipids containing single

primary and tertiary amine polar head groups

with in vitro transfection activity Savva, Michalakis; Aljaberi, Ahmad;

Feig, Jennifer; Stolz, Donna Beer

CORPORATE SOURCE: Division of Pharmaceutical Sciences, Arnold and

> Marie Schwartz College of Pharmacy and Health Sciences, Long Island University, Brooklyn, NY,

11201, USA

SOURCE: Colloids and Surfaces, B: Biointerfaces (2005),

43(1), 43-56

CODEN: CSBBEQ; ISSN: 0927-7765

PUBLISHER: Elsevier B.V.

DOCUMENT TYPE: Journal LANGUAGE: English Entered STN: 13 Jun 2005

The physicochem. properties of a novel series of sym. 1,3-dialkylamidopropane-based AB cationic amphiphiles [M. Sheikh, J. Feig, B. Gee, S. Li, M. Savva, In vitro lipofection with novel series of sym. 1,3-dialkoylamidopropane-based cationic surfactants containing single primary and tertiary amine polar head groups, Chemical Phys. Lipids 124 (2003) 49-61] were studied by several techniques, in an effort to correlate cationic lipid structure with transfection efficacy. It was found that only the unsubstituted amine and tertiary amine dioleoyl derivs. 1,31mp5 and 1,31mt5, resp., mediated in vitro transfection activity in the absence of helper lipids. This activity pattern was consistent with ethidium bromide fluorescence quenching studies, which indicated that only these two derivs. bound to and efficiently condense plasmid DNA at physiol. pH. Dynamic light scattering indicated that lipoplexes made by these two cationic lipids were relatively small particles below 1  $\mu\text{m}$ , in sharp contrast to lipoplexes bigger than 3 µm composed of saturated cationic derivs. Transmission electron microscopy studies clearly indicated that cationic lipid dispersions made by saturated derivs. form multilamellar tubules at physiol. pH. Calorimetric studies showed that cationic amphiphiles with saturated acyl chains longer than 12 carbons exhibit solid-to-liquid crystalline phase transitions above 37 °C. In agreement with the microscopy and calorimetry studies, Langmuir film balance expts. indicated that saturated derivs. with hydrophobic chains longer that 12 carbons are not well hydrated and exist at a chain-ordered state at ambient temperature Calcn. of compressibility moduli from monolayer compression isotherms at 23 °C suggested that monolayers made by cationic lipids bearing saturated acyl chains are less compressible relative to those of the dioleoyl derivs. 1,31mp5 and 1,31mt5. In conclusion, high hydration, increased

fluidity and high elasticity of cationic lipid assemblies in isolation, all correlate with high in vitro transfection activity.

THERE ARE 28 CITED REFERENCES AVAILABLE REFERENCE COUNT:

FOR THIS RECORD. ALL CITATIONS AVAILABLE

IN THE RE FORMAT

L19 ANSWER 6 OF 10 HCAPLUS COPYRIGHT 2007 ACS on STN ACCESSION NUMBER: 2003:423359 HCAPLUS Full-text

DOCUMENT NUMBER: 140:88218

In vitro lipofection with novel series of TITLE: symmetric 1,3-dialkoylamidopropane-based

cationic surfactants containing single primary

and tertiary amine polar head groups

AUTHOR(S): Sheikh, Mohammad; Feig, Jennifer; Gee, Becky;

Li, Song; Savva, Michalakis

CORPORATE SOURCE: Arnold & Marie Schwartz College of Pharmacy and

Health Sciences, Division of Pharmaceutics, Long

Island University, Brooklyn, NY, 11201, USA

Chemistry and Physics of Lipids (2003), 124(1), SOURCE:

49-61

CODEN: CPLIA4; ISSN: 0009-3084

PUBLISHER: Elsevier Science Ltd.

DOCUMENT TYPE: Journal LANGUAGE: English Entered STN: 03 Jun 2003

A novel series of sym. double-chained primary and tertiary 1,3-dialkoylamido monovalent AB cationic lipids were synthesized and evaluated for their transfection activities. In the absence of the helper lipid DOPE (1,2-dioleoyl-sn-glycero- 3-phosphoethanolamine), only the primary and tertiary dioleoyl derivs. 1,3lmp5 and 1,3lmt5, resp. elicited transfection activity. This is a striking difference between sym. 1,2-diacyl glycerolbased monovalent cationic lipids that always found both dioleoyl and dimyristoyl analogs being efficient transfection reagents. In the presence of helper lipid, all cationic derivs. induced marker gene expression, except the dilauroyl analogs 1,31mp1 and 1,31mt1 that elicited no transfection activity. Combining electrophoretic mobility data of the lipoplexes at different charge ratios with transfection activity suggested two requirements for high transfection activity with monovalent double-chained cationic lipids, i.e., binding/association of the lipid to the plasmid DNA and membrane fusion properties of the lipid layers surrounding the DNA.

REFERENCE COUNT: 25 THERE ARE 25 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE

IN THE RE FORMAT

L19 ANSWER 7 OF 10 HCAPLUS COPYRIGHT 2007 ACS on STN 1999:647605 HCAPLUS Full-text ACCESSION NUMBER:

DOCUMENT NUMBER: 132:46579

TITLE: Effect of PEG homopolymer and grafted

amphiphilic PEG-palmityl on the thermotropic phase behavior of 1,2-dipalmitoyl-sn-glycero-3-

phosphocholine bilayer

AUTHOR (S): Savva, Michalakis; Huang, Leaf

CORPORATE SOURCE: Departments of Pharmaceutical Sciences and

Pharmacology, University of Pittsburgh,

Pittsburgh, PA, 15261, USA

SOURCE: Journal of Liposome Research (1999), 9(3),

357-365

CODEN: JLREE7; ISSN: 0898-2104

PUBLISHER: Marcel Dekker, Inc.

DOCUMENT TYPE: Journal LANGUAGE: English Entered STN: 12 Oct 1999

Phospholipids covalently attached to polyethylene glycol (PEG-PE) are routinely used for the preparation of long-circulating liposomes. The common preparation procedure for long-circulating liposomes involves use of organic solvent. Although there is a plethora of studies describing the interaction of PEG-PE with bilayers, little is known about the effects of PEG homopolymers and single chain amphiphilic PEG on liposome structure. In the present investigation the interaction of PEG homopolymer and amphiphilic PEG-palmityl conjugate with large multilamellar liposomes composed of 1,2-

dipalmitoyl-sn-glycero-phosphocholine was investigated utilizing differential scanning calorimetry. Vesicle and aggregate sizes were determined by dynamic light scattering. DSC thermograms revealed interaction of PEG homopolymer with DPPC when the two are premixed in organic solvent. The data suggest that PEG interacts with the phospholipid acyl chains deep in the bilayer. Several questions are raised regarding the suitability of the current procedure for preparation of long-circulating liposomes which utilizes organic solvent. Incorporation of only 2 mol 5 kDa PEG-palmityl conjugate completely solubilized DPPC liposomes. Packing geometry of the lipid anchor, irresp. of the polymer mol. weight, is suggested to be the primary factor for successful grafting of hydrophilic polymers on liposomes. Pure PEG-palmityl formed self-assembled organized structures of potential use in the delivery of poorly soluble drugs.

REFERENCE COUNT: THERE ARE 16 CITED REFERENCES AVAILABLE 16

FOR THIS RECORD. ALL CITATIONS AVAILABLE

IN THE RE FORMAT

L19 ANSWER 8 OF 10 HCAPLUS COPYRIGHT 2007 ACS on STN 1999:502263 HCAPLUS Full-text ACCESSION NUMBER:

DOCUMENT NUMBER: 131:327399

TITLE: Effect of Grafted Amphiphilic PVP-Palmityl Polymers on the Thermotropic Phase Behavior of 1,2 Dipalmitoyl-sn-glycero-3-phosphocholine

Bilaver

AUTHOR (S): Savva, Michalakis; Torchilin, Vladimir

P.; Huang, Leaf

Departments of Pharmaceutical Sciences and CORPORATE SOURCE:

Pharmacology, University of Pittsburgh,

Pittsburgh, PA, 15261, USA

SOURCE: Journal of Colloid and Interface Science (1999),

217(1), 166-171

CODEN: JCISA5; ISSN: 0021-9797

PUBLISHER: Academic Press

DOCUMENT TYPE: Journal LANGUAGE: English Entered STN: 12 Aug 1999

To better understand how grafted polymers interact with liposome membrane, a AB comparative study was conducted to investigate the influence of different chain length polyvinyl pyrrolidone-palmityl (PVP-p) conjugates on the thermotropic phase behavior of 1,2 dipalmitoyl-sn-glycero-3-phosphatidylcholine (DPPC) bilayer. Lipid-polymer dispersions were prepared by mixing DPPC and variable concns. of PVP-p conjugates in chloroform. Hydration of lipids was performed at 50-55°C after complete elimination of the organic solvent. DSC was used to determine lipid miscibility and bilayer-polymer interactions. Particle size was determined by photon correlation spectroscopy. Increasing concns. of 6 kDa PVP-p caused a shift of the main phase transition of DPPC at lower temps. At 9.1 mol% the DPPC phase pretransition (Tp) is abolished. At 16.7 mol%, differential scanning calorimetry showed an endothermic phase transition at 24.9°C. The enthalpy of this transition was twice as high compared to the main phase transition enthalpy of pure DPPC. Inclusion of more than 20 mol% of 6 kDa PVP-p resulted in a complete bilayer micellization. Qual. similar to the 6 kDa were the results obtained with the 12 kDa PVP-p conjugate. Increasing concns. of 25 kDa PVP-p from 1 to 13 mol% resulted in a decrease of the main DPPC phase transition temperature At 13 mol% the new mol. self-assembled structure as previously identified with the lower MW PVP-p conjugates also showed up at the DSC thermogram. However, in sharp contrast to the lower MW PVP-p conjugates, increasing the 25 kDa PVP-p content did not result in bilayer disruption; rather, it resulted in a bilayer stabilization. The consequences of the hydrophobically modified PVP interaction with the bilayer are considered neg. with respect to the long-circulating properties of liposomes in the blood. (c) 1999 Academic Press.

REFERENCE COUNT: THERE ARE 17 CITED REFERENCES AVAILABLE 17 FOR THIS RECORD. ALL CITATIONS AVAILABLE

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L19 ANSWER 9 OF 10 HCAPLUS COPYRIGHT 2007 ACS on STN ACCESSION NUMBER: 1999:502262 HCAPLUS Full-text

DOCUMENT NUMBER: 131:327398

TITLE: Effect of Polyvinylpyrrolidone on the Thermal

Phase Transition of 1,2-Dipalmitoyl-sn-glycero-3-

phosphocholine Bilayer

AUTHOR (S): Savva, Michalakis; Torchilin, Vladimir

P.; Huang, Leaf

Departments of Pharmaceutical Sciences and CORPORATE SOURCE:

Pharmacology, University of Pittsburgh,

Pittsburgh, PA, 15261, USA

Journal of Colloid and Interface Science (1999), SOURCE:

217(1), 160-165

Academic Press

CODEN: JCISA5; ISSN: 0021-9797

PUBLISHER:

DOCUMENT TYPE: Journal LANGUAGE: English

Entered STN: 12 Aug 1999

The purpose of this study was to investigate the interaction of polyvinyl pyrrolidone AB (PVP) with phospholipid bilayers in an effort to add a new dimension to our understanding of polymer interaction with lipids. For the preparation of lipid-polymer dispersions, measured amts. of DPPC (1,2 dipalmitoyl-sn-glycero-3- phosphocholine) and PVP were mixed in chloroform. After complete elimination of organic solvent, the dry mixts. were hydrated at 50-55°C. Interactions between DPPC and PVP were assessed by DSC and photon correlation spectroscopy (PS). Separation of liposomes and micelles was performed by centrifugation. Liquid scintillation counting and a UV spectrophotometer were used for their anal. PVP added as dry powder or added as aqueous solution to dry lipid or preformed liposomes failed to interact. Only PVP previously dissolved in chloroform interacted with DPPC. The DPPC main phase transition moved to lower temps. with increasing PVP concns. This reduction of the phase transition temperature was accompanied by an increase of the DPPC phase transition enthalpy. Anal. of solubilization indicated that the amount of PVP present in the bilayer is dependent on the PVP bulk concentration The data suggest interaction of PVP previously dissolved in chloroform with the acyl chains of the phospholipid deep into the bilayer. (c) 1999 Academic Press.

REFERENCE COUNT:

17 THERE ARE 17 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L19 ANSWER 10 OF 10 HCAPLUS COPYRIGHT 2007 ACS on STN ACCESSION NUMBER: 1998:481727 HCAPLUS Full-text

DOCUMENT NUMBER: 129:235495

PVP: a "pretender" molecule TITLE:

AUTHOR(S): Savva, M.; Torchilin, V. P.; Huang, L.

CORPORATE SOURCE: University of Pittsburgh, Pittsburgh, PA, 15261,

USA

SOURCE: Proceedings of the International Symposium on

Controlled Release of Bioactive Materials

(1998), 25th, 134-135

CODEN: PCRMEY; ISSN: 1022-0178 Controlled Release Society, Inc.

DOCUMENT TYPE: Journal LANGUAGE: English

ED Entered STN: 04 Aug 1998

AB It appears that dipalmitoylphosphatidylcholine-PVP prepns. are stabilized through an enthalpic contribution. The observed lipid-polymer interaction is attributed to a change in the conformation of PVP, taking place during its dissoln. in organic solvent. Thus, PVP is a pretender mol. being able to acquire an appropriate conformation in a given environment. This can be of significant importance in formulations in which apolar solvents are used, e.g., transdermal and other topical dosage forms. In these formulations, the polymer might be presented in a hydrophobic conformation, thereby promoting keratinocyte barrier fluidization or interaction with living cells and other tissue components.

REFERENCE COUNT:

PUBLISHER:

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                SCR 1363 OR 1236
L3
                SCR 1838
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           3307) SEA SSS FUL L1 AND L2 AND L3 NOT L4
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              O SEA ABB=ON PLU=ON L16 NOT L17
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     FILE 'USPATFULL' ENTERED AT 18:42:49 ON 01 FEB 2007
               D OUE NOS L17
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GGCAT IS SAT AT 2

DEFAULT ECLEVEL IS LIMITED ECOUNT IS M11 C AT 4 ECOUNT IS M11 C AT 5

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L4 SCR 1838

L5 ( 3307)SEA FILE=REGISTRY SSS FUL L1 AND L2 AND L3 NOT L4 L6 STR

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G2 8

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Ak 17 16 15 11 12 13 14

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STEREO ATTRIBUTES: NONE

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=> d que 112 L1 STR

 $N \sim Ak \sim N$  Ak 4 Ak 5

NODE ATTRIBUTES:

CONNECT IS E2 RC AT 2

DEFAULT MLEVEL IS ATOM

MLEVEL IS CLASS AT 2 4 5

GGCAT IS SAT AT 2

DEFAULT ECLEVEL IS LIMITED

ECOUNT IS M11 C AT 4

ECOUNT IS M11 C AT 5

GRAPH ATTRIBUTES: RING(S) ARE ISOLATED OR EMBEDDED NUMBER OF NODES IS 5

STEREO ATTRIBUTES: NONE

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10/686262
L2
                SCR 1950 AND 1994
L3
                SCR 1363 OR 1236
L4
                SCR 1838
           3307) SEA FILE=REGISTRY SSS FUL L1 AND L2 AND L3 NOT L4
L5
L6
REP G2 = (1-4) A
VAR G3=H/CH3/21
NODE ATTRIBUTES:
CONNECT IS E2 RC AT
DEFAULT MLEVEL IS ATOM
MLEVEL IS CLASS AT 2 14 18
DEFAULT ECLEVEL IS LIMITED
ECOUNT IS M11 C AT 14
ECOUNT IS M11 C AT 18
GRAPH ATTRIBUTES:
RING(S) ARE ISOLATED OR EMBEDDED
NUMBER OF NODES IS 19
STEREO ATTRIBUTES: NONE
L7
            14 SEA FILE=REGISTRY SUB=L5 SSS FUL L6
L12
              3 SEA FILE=HCAPLUS ABB=ON PLU=ON L7
=> file uspatfull
FILE 'USPATFULL' ENTERED AT 18:48:23 ON 01 FEB 2007
CA INDEXING COPYRIGHT (C) 2007 AMERICAN CHEMICAL SOCIETY (ACS)
FILE COVERS 1971 TO PATENT PUBLICATION DATE: 1 Feb 2007 (20070201/PD)
FILE LAST UPDATED: 1 Feb 2007 (20070201/ED)
HIGHEST GRANTED PATENT NUMBER: US7171694
HIGHEST APPLICATION PUBLICATION NUMBER: US2007028338
CA INDEXING IS CURRENT THROUGH 1 Feb 2007 (20070201/UPCA)
ISSUE CLASS FIELDS (/INCL) CURRENT THROUGH: 1 Feb 2007 (20070201/PD)
REVISED CLASS FIELDS (/NCL) LAST RELOADED: Aug 2006
USPTO MANUAL OF CLASSIFICATIONS THESAURUS ISSUE DATE: Aug 2006
=> d que nos 116
                STR
L2
                SCR 1950 AND 1994
                SCR 1363 OR 1236
L3 .
                SCR 1838
L4
           3307) SEA FILE=REGISTRY SSS FUL L1 AND L2 AND L3 NOT L4
L5
L6
               STR
L7
            14 SEA FILE=REGISTRY SUB=L5 SSS FUL L6
L16
             1 SEA FILE=USPATFULL ABB=ON PLU=ON L7
```

=> file hcaplus uspatfull

FILE 'HCAPLUS' ENTERED AT 18:49:03 ON 01 FEB 2007 USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT. PLEASE SEE "HELP USAGETERMS" FOR DETAILS.

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=> dup rem 112 116

PROCESSING COMPLETED FOR L12

PROCESSING COMPLETED FOR L16

3 DUP REM L12 L16 (1 DUPLICATE REMOVED) L20

ANSWERS '1-3' FROM FILE HCAPLUS

=> d ibib ed abs hitstr 1-3

L20 ANSWER 1 OF 3 HCAPLUS COPYRIGHT 2007 ACS on STN DUPLICATE 1

ACCESSION NUMBER:

2005:346678 HCAPLUS Full-text

DOCUMENT NUMBER:

142:417187

TITLE:

Cationic lipids for nucleic acid delivery

INVENTOR(S):

Savva, Michalakis

PATENT ASSIGNEE(S):

USA

SOURCE:

U.S. Pat. Appl. Publ., 8 pp.

CODEN: USXXCO

DOCUMENT TYPE:

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
US 2005084522	A1	20050421	US 2003-686262	
00 2000001022				200310 15
PRIORITY APPLN. INFO.:			US 2003-686262	
				200310 15

OTHER SOURCE(S): MARPAT 142:417187

Entered STN: 22 Apr 2005

The invention describes the synthetic methods for a series of pH-sensitive cationic lipids with diamido linkages between the 1,2-diamino-3-propanol backbone and the hydrocarbon chains. Their in vitro biol. activity of the resulting lipid-DNA complexes is also described.

850254-81-8P 850254-82-9P 850254-83-0P 850254-84-1P 850254-85-2P 850254-86-3P 850254-87-4P 850254-88-5P 850254-89-6P 850254-90-9P 850254-91-0P 850254-92-1P

> RL: PAC (Pharmacological activity); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES

(cationic lipids for nuclei acid delivery)

RN 850254-81-8 HCAPLUS

CN Carbamic acid, bis[2-(dimethylamino)ethyl]-, 2,3-bis[(1oxododecyl)amino]propyl ester (9CI) (CA INDEX NAME)

850254-82-9 HCAPLUS RN

CN Carbamic acid, bis[2-(dimethylamino)ethyl]-, 2,3-bis[(1oxotetradecyl)amino)propyl ester (9CI) (CA INDEX NAME)

RN 850254-83-0 HCAPLUS
CN Carbamic acid, bis[2-(dimethylamino)ethyl]-, 2,3-bis[(1oxohexadecyl)amino]propyl ester (9CI) (CA INDEX NAME)

RN 850254-84-1 HCAPLUS
CN Carbamic acid, bis[2-(dimethylamino)ethyl]-, 2,3-bis[(1oxooctadecyl)amino]propyl ester (9CI) (CA INDEX NAME)

RN 850254-85-2 HCAPLUS
CN Carbamic acid, bis[2-(dimethylamino)ethyl]-, 2,3-bis[[(9Z)-1-oxo-9-octadecenyl]amino]propyl ester (9CI) (CA INDEX NAME)

Double bond geometry as shown.

PAGE 1-B

\_\_ Me

CN Carbamic acid, (2-aminoethyl)-, 2,3-bis[(1oxotetradecyl)amino]propyl ester (9CI) (CA INDEX NAME)

$$\begin{array}{c} & \text{ o } \\ & \text{ Me}\_ \text{ (CH}_2\text{) }_{12} = \overset{\text{ C}}{\text{ L}}\text{ NH}\_ \text{ CH}_2 \\ & \text{ O } \\ & \text{ H}_2\text{N}\_ \text{ CH}_2\_ \text{ CH}_2\_ \text{ NH}\_ \text{ C}\_ \text{ O}\_ \text{ CH}_2\_ \text{ CH}\_ \text{ NH}\_ \text{ L}\_ \text{ (CH}_2\text{) }_{12}\_ \text{ Me} \\ & \text{ L} \\ & \text{ } \end{array}$$

RN 850254-87-4 HCAPLUS

CN Carbamic acid, [2-(dimethylamino)ethyl]-, 2,3-bis[(1-oxotetradecyl)amino]propyl ester (9CI) (CA INDEX NAME)

RN 850254-88-5 HCAPLUS

CN Carbamic acid, (2-aminoethyl)-, 2,3-bis[(1-oxododecyl)amino]propyl
ester (9CI) (CA INDEX NAME)

RN 850254-89-6 HCAPLUS

CN Carbamic acid, [2-(dimethylamino)ethyl]-, 2,3-bis[(1oxododecyl)amino]propyl ester (9CI) (CA INDEX NAME)

RN 850254-90-9 HCAPLUS

CN Carbamic acid, (2-aminoethyl)-, 2,3-bis[(1-oxohexadecyl)amino]propyl
ester (9CI) (CA INDEX NAME)

RN 850254-91-0 HCAPLUS

CN Carbamic acid, [2-(dimethylamino)ethyl]-, 2,3-bis[(1oxohexadecyl)amino]propyl ester (9CI) (CA INDEX NAME)

RN 850254-92-1 HCAPLUS

CN Carbamic acid, (2-aminoethyl)-, 2,3-bis[(1-oxooctadecyl)amino]propyl
ester (9CI) (CA INDEX NAME)

RN 850254-93-2 HCAPLUS

CN Carbamic acid, [2-(dimethylamino)ethyl]-, 2,3-bis[(1oxooctadecyl)amino]propyl ester (9CI) (CA INDEX NAME)

L20 ANSWER 2 OF 3 HCAPLUS COPYRIGHT 2007 ACS on STN

ACCESSION NUMBER: 2005:1162755 HCAPLUS Full-text

DOCUMENT NUMBER: 144:74592

TITLE: In Vitro Lipofection with Novel Asymmetric

Series of 1,2-Dialkoylamidopropane-Based Cytofectins Containing Single Symmetric Bis-(2-dimethylaminoethane) Polar Headgroups

AUTHOR(S): Savva, Michalakis; Chen, Pensung; Aljaberi, Ahmad; Selvi, Bilge; Spelios, Michael

CORPORATE SOURCE: Division of Pharmaceutical Sciences, Arnold

Marie Schwartz College of Pharmacy and Health Sciences, Long Island University, Brooklyn, NY,

11201, USA

SOURCE: Bioconjugate Chemistry (2005), 16(6), 1411-1422

CODEN: BCCHES; ISSN: 1043-1802

PUBLISHER: American Chemical Society

DOCUMENT TYPE: Journal

LANGUAGE: English ED Entered STN: 01 Nov 2005

AB Novel N,N'-diacyl-1,2-diaminopropyl-3-carbamoyl[bis-(2- dimethylaminoethane)] bivalent cationic lipids were synthesized and evaluated for in vitro transfection activity against a murine melanoma cell line. In the absence of the helper lipid DOPE (1,2-dioleoyl-sn-glycero-3-phosphoethanolamine), only the dioleoyl derivative 22 (1,21b5) elicited transfection activity. The transfection activity of this lipid was reduced

when formulated with DOPE. Contrary to that, the dimyristoyl derivative 19 (1,21b2) mediated no activity when used alone but induced the highest levels of marker gene expression in the presence of DOPE. In an effort to correlate the transfection activity with cationic lipid structures, the physicochem. properties of cationic lipids in isolation and of lipoplexes were studied with surface tensiometry, photon correlation spectroscopy, gel electrophoresis mobility shift assay, and fluorescence techniques. In regard to the lipoplex properties, gel electrophoresis mobility shift assay and EtBr exclusion fluorescence assay revealed that the 1,2lb5 was the only lipid to associate and condense plasmid DNA, resp. Photon correlation spectroscopy anal. found that 1,21b5/DNA complexes were of relatively small size compared to all other lipoplexes. With respect to the properties of isolated lipids, Langmuir monolayer studies and fluorescence anisotropy on cationic lipid dispersions verified high twoplane elasticity and increased fluidity of the transfection competent dioleoyl derivative 1,2lb5, resp. The results indicate that high transfection activity is mediated by cationic lipids characterized by an expanded mean mol. area, high mol. elasticity, and increased fluidity.

IT 850254-81-8P 850254-82-9P 850254-83-0P

850254-84-1P 850254-85-2P

RL: PRP (Properties); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses)

(in vitro lipofection with novel asym. .series of

1,2-dialkoylamidopropane-based cytofectins containing single sym.

bis-(2-dimethylaminoethane) polar headgroups)

RN 850254-81-8 HCAPLUS

CN Carbamic acid, bis[2-(dimethylamino)ethyl]-, 2,3-bis[(1oxododecyl)amino]propyl ester (9CI) (CA INDEX NAME)

RN 850254-82-9 HCAPLUS

CN Carbamic acid, bis[2-(dimethylamino)ethyl]-, 2,3-bis[(1oxotetradecyl)amino]propyl ester (9CI) (CA INDEX NAME)

RN 850254-83-0 HCAPLUS

Carbamic acid, bis[2-(dimethylamino)ethyl]-, 2,3-bis[(1oxohexadecyl)amino]propyl ester (9CI) (CA INDEX NAME)

RN 850254-84-1 HCAPLUS

CN Carbamic acid, bis[2-(dimethylamino)ethyl]-, 2,3-bis[(1oxooctadecyl)amino]propyl ester (9CI) (CA INDEX NAME)

RN 850254-85-2 HCAPLUS

CN Carbamic acid, bis[2-(dimethylamino)ethyl]-, 2,3-bis[[(9Z)-1-oxo-9octadecenyl]amino]propyl ester (9CI) (CA INDEX NAME)

Double bond geometry as shown.

Me<sub>2</sub>N N O O O (CH<sub>2</sub>) 7 Z (CH<sub>2</sub>) 7  $\times$  (CH<sub>2</sub>) 7

PAGE 1-B

PAGE 1-A

\_\_ Me

REFERENCE COUNT:

THERE ARE 34 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE

IN THE RE FORMAT

L20 ANSWER 3 OF 3 HCAPLUS COPYRIGHT 2007 ACS on STN ACCESSION NUMBER: 2005:25928 HCAPLUS Full-text

ACCESSION NUMBER: DOCUMENT NUMBER:

143:272152

TITLE:

Synthesis, in vitro transfection activity and physicochemical characterization of novel N,N'-diacyl-1,2-diaminopropyl-3-carbamoyl-(dimethylaminoethane) amphiphilic derivatives

. AUTHOR(S):

Aljaberi, Ahmad; Chen, Pensung; Savva,

Michalakis

CORPORATE SOURCE:

Division of Pharmaceutical Sciences, Arnold and Marie Schwartz College of Pharmacy and Health Sciences, Long Island University, Brooklyn, NY, 11201, USA

SOURCE:

Chemistry and Physics of Lipids (2005), 133(2),

135-149

CODEN: CPLIA4; ISSN: 0009-3084

PUBLISHER:

Elsevier B.V.

DOCUMENT TYPE:

Journal English

LANGUAGE:

D Entered STN: 12 Jan 2005

AB A novel series of N,N'-diacyl-1,2-diaminopropyl-3-carbamoyl- (dimethylaminoethane) cationic derivs. was synthesized and screened for in vitro transfection activity at

different charge ratios in the presence and absence of the helper lipids DOPE and cholesterol. Physicochem. properties of lipid-DNA complexes were studied by gel electrophoresis, fluorescence spectroscopy and dynamic light scattering. The interfacial properties of the lipids in isolation were studied using the Langmuir film balance technique at 23 °C. It was found that only lipoplexes formulated with the dioleoyl derivative, 1,2lmt[5], mediated significant in vitro transfection activity. Optimum activity was obtained with 1,2lmt[5]/DOPE mixture at a ±charge ratio of 2. In agreement with the transfection results, 1,2lmt[5] was the only lipid found to complex and retard DNA migration as verified by gel electrophoresis. Despite the efficient complexation, no significant condensation of plasmid DNA was observed as indicated by fluorescence spectroscopy measurements. Monolayer studies showed that the dioleoyl derivative 1,21mt[5] was the only lipid that existed in an all liquid-expanded state with a collapse area and collapse pressure of 59.5 A2 and 38.7 mN/m, resp. This lipid was also found to have the highest elasticity with a compressibility modulus at monolayer collapse of 80.4 mN/m. In conclusion, increased acyl chain fluidity and high mol. elasticity of cationic lipids were found to correlate with improved transfection

IT 850254-87-4P 850254-89-6P 850254-91-0P

850254-93-2P 863581-97-9P

RL: BSU (Biological study, unclassified); PRP (Properties); SPN (Synthetic preparation); BIOL (Biological study); PREP (Preparation) (preparation, in vitro transfection activity and physicochem. characterization of N,N'-diacyl-1,2-diaminopropyl-3-carbamoyl-(dimethylaminoethane) amphiphilic derivs.)

RN 850254-87-4 HCAPLUS

CN

Carbamic acid, [2-(dimethylamino)ethyl]-, 2,3-bis[(1oxotetradecyl)amino]propyl ester (9CI) (CA INDEX NAME)

RN850254-89-6 HCAPLUS CN Carbamic acid, [2-(dimethylamino)ethyl]-, 2,3-bis[(1oxododecyl)amino]propyl ester (9CI) (CA INDEX NAME)

RN 850254-91-0 HCAPLUS CN Carbamic acid, [2-(dimethylamino)ethyl]-, 2,3-bis[(1oxohexadecyl)amino]propyl ester (9CI) (CA INDEX NAME)

RN 850254-93-2 HCAPLUS Carbamic acid, [2-(dimethylamino)ethyl]-, 2,3-bis[(1-

oxooctadecyl)amino]propyl ester (9CI) (CA INDEX NAME)

Me\_ (CH<sub>2</sub>)<sub>16</sub>\_ C\_ NH\_ CH<sub>2</sub> O Me<sub>2</sub>N\_ CH<sub>2</sub>\_ CH<sub>2</sub>\_ NH\_ C\_ O\_ CH<sub>2</sub>\_ CH\_ NH\_ C\_ (CH<sub>2</sub>)<sub>16</sub>\_ Me

RN 863581-97-9 HCAPLUS

CN Carbamic acid, [2-(dimethylamino)ethyl]-, 2,3-bis[[(9Z)-1-oxo-9-octadecenyl]amino]propyl ester (9CI) (CA INDEX NAME)

Double bond geometry as shown.

Me
$$_2$$
N  $(CH_2)$ 7  $Z$   $(CH_2)$ 

PAGE 1-B

\_\_ Me

=>

REFERENCE COUNT:

THERE ARE 26 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT